

Docket No. 204934USO

IN RE APPLICATION OF: Chieko OHSUMI et al

SERIAL NO: 09/810,186

FILED: March 19, 2001

FOR: A METHOD FOR INCREASING STRESS-RESISTANCE TO A PLANT

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

09810186 .02 SEP 01
Santor
Box SEQ

SIR:

Transmitted herewith is an amendment in the above-identified application.

No additional fee is required

Small entity status of this application under 37 C.F.R. §1.9 and §1.27 is claimed.

Additional documents filed herewith:

Filing of Declaration under 37 CFR 1.53; Declaration, Power of Attorney and Petition, 4 pages, Executed; Preliminary Amendment and Statement; Sequence Listing (Paper); Computer-Readable Sequence Listing (Diskette); Notice to File Missing Parts-Return Copy; Letter to Official Draftsman; Three (3) Sheets of Formal Drawings for Figs. 1-4; Filing of Certified Translation under 37 CFR 1.17(k); Certified English Translation; Request for Priority; Priority Document (1)

The Fee has been calculated as shown below:

CLAIMS	CLAIMS REMAINING		HIGHEST NUMBER PREVIOUSLY PAID	NO. EXTRA CLAIMS	RATE	CALCULATIONS	
TOTAL	7	MINUS	20	0	× \$18 =	\$0.00	
INDEPENDENT	4	MINUS	5	0	× \$80 =	\$0.00	
		<input type="checkbox"/>	MULTIPLE DEPENDENT CLAIMS		+ \$270 =	\$0.00	
		TOTAL OF ABOVE CALCULATIONS					\$0.00
		<input type="checkbox"/>	Reduction by 50% for filing by Small Entity				\$0.00
		<input type="checkbox"/>	Recordation of Assignment			+ \$40 =	\$0.00
		TOTAL					\$0.00

☐ A check in the amount of is attached.

☒ Please charge any additional Fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

☒ If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.



22850

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20530
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/810,186	03/19/2001	Chicko Ohsumi	204934US0

CONFIRMATION NO. 6978

FORMALITIES LETTER



00000000006110875

ON SPIVAK MCCLELLAND MAIER & NEUSTADT PC
FOURTH FLOOR
1755 JEFFERSON DAVIS HIGHWAY
ARLINGTON, VA 22202

Date Mailed: 05/24/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR

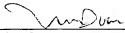
54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).

- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825 (b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice MUST be returned with the reply.



Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

204934US0

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Tadako OHSUMI et al

: ATTN: Application Branch

SERIAL NO: 09/810,186

FILED: March 19, 2001

FOR: A METHOD FOR INCREASING
STRESS-RESISTANCE TO A PLANT**FILING OF CERTIFIED ENGLISH TRANSLATION**
UNDER 37 C.F.R. 1.17(k)ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Responsive to the Notice to File Missing Parts of Application (Form PTO-1533) dated May 24, 2001, Applicants submit herewith a certified English translation of the application, as filed, in accordance with the provisions of 37 C.F.R. §1.17(k).

The required fee was paid at the time of filing the application.

In light of the foregoing, this application is deemed to be in proper condition for examination and such favorable action is earnestly solicited.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.**22850**

 Norman F. Oblon
 Attorney of Record
 Registration No. 24,618

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IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF:

Chieko OHSUMI et al.

SERIAL NO: 09/810,186

FILED: March 19, 2001

FOR: A METHOD FOR INCREASING STRESS-RESISTANCE TO A PLANT



CERTIFICATION OF TRANSLATION

HONORABLE COMMISSIONER OF PATENTS & TRADEMARKS

WASHINGTON, D.C. 20231

SIR:

Kazunori OKAMURA, a translator hereby states:

- (1) that I am fluent in both the Japanese and English languages;
- (2) that I translated the attached document identified as corresponding to U.S.

Application No.09/810,186 filed in the United States on March 19, 2001 from Japanese into
ENGLISH;

- (3) that the attached English translation is a true and correct translation of the document
attached thereto to the best of my knowledge and belief; and
- (4) that all statements made of my own knowledge are true and that all statements made
on information and belief are believed to be true, and further that these statements are made with
the knowledge that willful false statements and the like are punishable by fine or imprisonment,
or both under 18 USC 1001, and that such false statements may jeopardize the validity of the
application or any patent issuing thereon.

DATE: July 16, 2001BY: Kazunori Okamura